



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/602,156	06/24/2003	2171	750	POU920030006US1	2	9	3

Lawrence D. Cutter, Attorney  
 IBM Corporation  
 Intellectual Property Law Dept., M/S P386  
 2455 South Rd.  
 Poughkeepsie, NY 12601

SEP 12 2003

FILING RECEIPT



\*OC000000010842932\*



Date Mailed: 09/09/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert J. Curran, West Hurley, NY;  
 Daniel L. McNabb, Los Gatos, CA;  
 Demetrios K. Michalarios, Lake Katrine, NY;  
 Wayne A. Sawdon, San Jose, CA;  
 Frank B. Schmuck, Campbell, CA;  
 James C. Wyllie, Monte Sereno, CA;

-- Demetrios K. Michalarios --

-- ASSIGNMENT FOR PUBLISHED APPLICATION --  
 Domestic Priority data as claimed by applicant INTERNATIONAL BUSINESS MACHINES

CORPORATION

ARMONK NEW YORK

Foreign Applications

If Required, Foreign Filing License Granted: 09/08/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Parallel high speed backup for a storage area network (SAN) file system

Preliminary Class

707

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Patent

IN THE U. S. PATENT AND TRADEMARK OFFICE

**Certificate of Mailing/Facsimile 37 CFR §1.8(a)**

I hereby certify that this correspondence is being:  
X deposited with the United States Postal Service as first class mail  
in an envelope with sufficient postage addressed to the:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 10/7/2003

October 7, 2003. Susan L. Phelps

Signature

Applicant: CURRAN et al.

Serial No.: 10/602,156

Filed: June 24, 2003

## Title: PARALLEL HIGH SPEED BACKUP FOR

## A STORAGE AREA NETWORK (SAN) FILE

## SYSTEM

: Group Art Unit: 2171 / Conf. No. 9977

: Examiner:

: October 7, 2003

• Lawrence D. Cutter

IBM Corporation

: M/S P386, 2455 South Road

: Poughkeepsie, NY 12601

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

X incorrectly entered and/or  
X omitted

### Error in

1.  Applicant's name
2.  Applicant's address
3.  Title
4.  Filing Date
5.  Serial Number
6.  Foreign/PCT Application Re:
7.  Other Assignment for Published Patent Application (PTO omission)  
*enclosed copy of Utility Patent Application Transmittal as filed*

(See enclosed copy of Utility Patent Application Transmittal as filed)

Correct data: Demetrios K. Michalaros, Lak Katrine, NY

INTERNATIONAL BUSINESS MACHINES CORPORATION  
Armonk, New York

3. A.  The correction(s) is/are not due to any error by applicant and no fee is due.

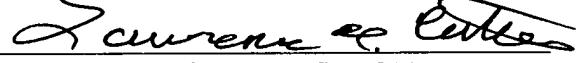
OR

B.  At least one of the above corrections is due to applicant's error. Please charge the fee therefor, under 37 CFR 1.19(h), of \$25.00 (or appropriate fee) to deposit account 09-0463. A duplicate copy is enclosed.

Respectfully requested,

Date: 2003/10/07 <sup>Oct.</sup>

By:

  
Lawrence D. Cutter, Sr. Attorney  
Registration No.: 28,501  
Telephone: (845)433-1172  
FAX: (845)432-9786